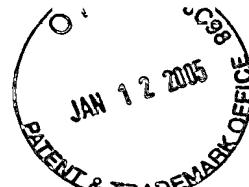


Docket No. 220986US0X PCT



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Guy LUMIA, et al.

SERIAL NO: 10/089,162

GAU: 1746

FILED: March 27, 2002

EXAMINER: CARRILLO, B.

FOR: PROCESS FOR TREATMENT AND EXTRACTION OF ORGANIC CORK COMPOUNDS BY A DENSE FLUID  
UNDER PRESSURE

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

### RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

### CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

### DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.  
Norman F. Oblon

  
\_\_\_\_\_  
Stefan U. Koschmieder, Ph.D.

Registration No. 50,238

Customer Number

22850

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)

01/14/2005 WBERHE 00000044 10089162  
180.00 OP  
01 180.00



DOCKET NO: 220986US0X PCT

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF :

GUY LUMIA, ET AL. : EXAMINER: CARRILLO, B.

SERIAL NO: 10/089,162 :

FILED: MARCH 27, 2002 : GROUP ART UNIT: 1746

FOR: PROCESS FOR TREATMENT AND  
EXTRACTION OF ORGANIC CORK  
COMPOUNDS BY A DENSE FLUID  
UNDER PRESSURE

STATEMENT OF RELEVANCY

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

References 1-11 were cited in one or more opposition proceedings in the corresponding European patent.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.  
Norman F. Oblon

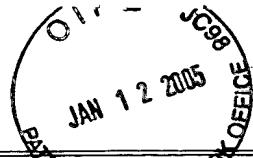
A handwritten signature in black ink, appearing to read "Stefan U. Koschnieder".

Stefan U. Koschnieder, Ph.D.  
Registration No. 50,238

Customer Number

22850

Tel: (703) 413-3000  
Fax: (703) 413 -2220  
(OSMMN 06/04)



Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY DOCKET NO. <b>220986US0X PCT</b>	SERIAL NO. <b>10/089,162</b>
LIST OF REFERENCES CITED BY APPLICANT		APPLICANT <b>Guy LUMIA, et al.</b>		
		FILING DATE <b>March 27, 2002</b>	GROUP <b>1746</b>	
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>				
1	MARICATO, Luis-Carlos, <i>Etude de l'extraction du Trichloroanisole par CO<sub>2</sub> Supercritique, Rapport de stage de fin d'études</i> , Institut des Sciences de l'Ingénieur de Montpellier, France, 1996.			
2	MONTAMEDI, L., <i>Supercritical Fluid Processing: Application Fields</i> , Riza Werkdocument No. 96.072X, 1996.			
3	TAYLOR, M.K., et al., <i>Supercritical fluid extraction (SFE) for quantitative analysis of TCA in cork</i> , Am. J. Enol. Vitic., 1999, Volume 50, No. 3.			
4	FAGES, II, Jacques, et al., <i>Use of Supercritical Fluid Extraction as a Method of Cleaning Anterior Cruciate Ligament Prostheses</i> , ASAIO Journal, July-August 1998, Volume 44, No. 4, Pages 278-288.			
5	FAGES, Jacques, et al., <i>Use of supercritical CO<sub>2</sub> for bone delipidation</i> , Biomaterials, 1994, Volume 15, No. 9, Pages 650-656.			
6	BOUZIDI, Ahmed, et al., <i>Supercritical Fluids Improve Tolerance and Viral Safety of Fresh Frozen Plasma</i> , Proceedings of the 5 <sup>th</sup> Meeting on Supercritical Fluids, Book 2, Pages 717-722.			
7	DEHGHANI, Fariba, <i>Inactivation of Various Microorganisms using Carbon Dioxide at High Pressure</i> , August 31, 1999, Volume 96, Issue 18, 10344-10348.			
8	<i>Fluides Supercritiques Et Matériaux</i> , edited by F. Cansell and J.P. PETITET, 1995.			
9	Thesis by D. CHOUCHI, Institut National Polytechnique de Lorraine, Ecole Nationale Supérieure des Industries Chimiques-Nancy-France, 1995.			
10	MATTHEWS, Michael A., et al., <i>Exploring the Feasibility of Using Dense-Phase Carbon Dioxide for Sterilization</i> , Review 2001.			
11	DANAHER, Martin, et al., <i>Extracting Residues of Contaminants from Food with Supercritical Carbon Dioxide</i> , Business Briefing: Foodtech, Pages 1-7.			
12				
13				
14				
15				
16				
17				
Examiner			Date Considered	
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.				